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Claiming of Shares from Escrow Account

As per SEBI Circular dated January 25, 2022, Letter of Confirmation (LOC) has to be issued to the Shareholder for various Investors Service Requests in case of physical share certificate. **The LOC will be valid for 120 days from the date of its issue**, within which the shareholder shall make a request to their Depository Participant (DP) for dematerializing the said securities. In case the Shareholder fails to submit the LOC within 120 days from the date of issue of LOC to their DP, the shares shall be transferred to the Suspense Escrow Demat Account of the Company. The methodology for claiming these shares back from the Suspense Escrow Demat Account is given below.

Documents required:

Request letter in Form ISR-4 by ticking the box "claim from unclaimed suspense account" in column B (Annexure -1) duly signed by the shareholder(s) along with the other documents mentioned therein and a self -attested copy of the PAN card.

The above Documents to be sent to the Registrar and Share Transfer Agent (RTA) of the Company on the below mentioned address

Name: Shri Narendra Negi

Address: MCS Share Transfer Agent Limited, F-65, 1st Floor, Okhla Industrial Area, Phase-1, New

Delhi- 110020

Phone No: 011-41406149;

Email: admin@mcsregistrars.com

Procedure:

1. The applicant shall ensure that they have a valid demat account.

2. On submission of ISR-4 Form, RTA will verify the same and issue a "Letter of Acknowledgement" to the shareholder and subsequently if all the documents are in order, will process the claim and credit the shares into the demat account of the claimant(s) accordingly.



Annexure-1

Date:

Form ISR-4

(Refer circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

Request for issue of Duplicate Certificate and other Service Requests

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

A. Mandatory Documents / details requ	ired for processing all service request:
I / We are submitting the following docur	nents / details and undertake to request
the Depository Participant to dematerialize	e my / our securities within 120 days from
the date of issuance of Letter of Conf	irmation, received from the RTA/Issuer
Company (tick □as relevant, refer to the ins	tructions):
Demat Account No. (If available): □□□	
Provide Client Master List (CML) of y	your Demat Account from the Depository
Participant*	
 Provide the following details, if they are n 	ot already available with the RTA (see <u>SEBI</u>
circular dated November 03, 2021 in this	regard)
PAN	Specimen Signature
Nomination / Declaration to Opt-out	

^{* (}Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your **CML**). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use <u>Form ISR-1</u> in <u>SEBI circular dated November 03, 2021</u>.

	☐ Issue of Duplicate certificate		from	Unclaimed	Suspense
•		Account			
□ Replacement / Renewal / Exchange of		☐ Endors	sement		
securities certificate					
☐ Sub-division / Splitting of	f securities	□ Conso	lidation	of Folios	
certificate					
□ Consolidation of Securities	certificate	□ Transr	nission		
☐ Transposition (Mention the	new order o	f holders h	nere)		
,					
 I / We are enclosing certi 	ficato(a) ac				
	iicale(s) as	detailed b	elow**	•	
Name of the Issuer	incate(s) as	detalled b	pelow**	·•	
	iicate(s) as	detalled r	Delow**	:	
Name of the Issuer	incate(s) as	detalled k	oeiow^^	:	
Name of the Issuer Company	1.	detailed r	Delow^^		
Name of the Issuer Company Folio Number	. ,	detailed k	Delow**	-	
Name of the Issuer Company Folio Number Name(s) of the security	1.	detailed k	Delow**		
Name of the Issuer Company Folio Number Name(s) of the security holder(s) as per the	1. 2.	detailed k	Delow**		
Name of the Issuer Company Folio Number Name(s) of the security holder(s) as per the certificate(s)	1. 2.	detailed k	Delow**		
Name of the Issuer Company Folio Number Name(s) of the security holder(s) as per the certificate(s) Certificate numbers	1. 2.	detailed k	Delow**		
Name of the Issuer Company Folio Number Name(s) of the security	1.	detailed k	Delow^^		

Document / details required for specific service request:

☐ Duplicate securities certificate

I.



	II.	□ Claim from	Unclaimed Sus	pense Account
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Securities claimed		(in
	numbers)	
	(in words)	

III.	□ Replacement / Renewal / Exchange of securities certificate
	(that is defaced, mutilated, torn, decrepit, worn out or where the page on the
	reverse is fully utilized)

IV. ☐ Endorsement

V.

Sub-division / Splitting of securities certificate

VI. ☐ Consolidation of securities certificate/Folios

VII. ☐ Transmission

VIII. □ Transposition

Provide / attach original securities certificate(s) for request for item numbers III to VIII above.

Declaration: All the above facts stated are true and correct to best of my / our knowledge and belief.

	Security Holder 1 / Claimant	Security Holder 2	Security Holder 3
Signature	✓	J	J
Name	J	J	J



Full	✓	
address		
PIN	✓ □□□□□□	

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.